

# Research on optimization of steel plate linear heating line planning based on multi-objective PCA-PSO particle swarm optimization

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## Abstract

The planning of linear heating lines for 3D curved surface forming of hull outer plates has always been a research focus and difficulty in the shipbuilding industry. Since the steel plate forming process is affected by many factors, the planning of steel plate heating lines has always had problems such as low accuracy and high planning difficulty. In order to solve the problem of linear heating line planning, this study used ANSYS finite element analysis simulation data, and used the particle swarm global optimization algorithm based on multi-objective principal component analysis to plan the linear heating lines of steel plates, and gave the heating line prediction results. Provide support for workers in steel plate heating work. This method not only improves work efficiency but also saves energy, and has certain engineering application value.

Keywords: Hull Steel Plate Forming, Multi-Objective, Principal Component Particle Swarm Optimization Algorithm, Heating Line Planning

## **1. Introduction**

Ships play a very important role in military, transportation, fishery and other fields, and are the main manifestation of a country's economic and military strength [1]. Therefore, developed countries have invested a lot of manpower, material and financial resources to promote the development of the shipbuilding industry. However, shipbuilding is a very complicated process. The manufacture of the hull alone accounts for 50% of the entire process [2]. In the process of hull manufacturing, the 3D curved surface forming of the hull steel plate is one of the most complicated processes [3]. In the steel plate curved surface forming, the linear heating process is the most effective, simplest and most popular process [4]. Therefore, the current mainstream is using linear heating for steel plate 3D forming. However, this process requires workers with 10-20 years of experience to plan the heating line and perform linear heating according to the forming requirements of the target steel plate [5]. Then, according to the forming results of the steel plate, it is compared with the target steel plate, and the second, third or even multiple heating forming heating line planning and heating are performed. This process not only consumes a lot of manpower, but also wastes heating resources, increases the cost of the enterprise, and wastes national energy [6].

At present, due to the high aging population and poor working environment in Japan, there are no young people entering this industry [7]. In this regard, it is urgent to use modern scientific and technological means and artificial intelligence to provide a reliable, accurate and convenient solution to solve the problem of linear heating of hull steel plates. Therefore, this paper proposes a linear heating line planning optimization method for steel plates based on PCA-PSO particle swarm optimization. This method first uses PCA to extract the features of steel plate deformation, reduces the dimension of high-dimensional complex data, and then uses the PSO particle swarm optimization algorithm to optimize the steel plate deformation process. Modeling, finally, the model can plan the steel plate, and give the heating line prediction results.

At present, many scholars have conducted in-depth research and innovation in many aspects of the process of 3D surface forming of steel plates. Wang proposed to study the initial forming of steel plates by hydraulic cold rolling [8]. Cao et al. specifically studied the application of artificial intelligence in metal deformation and provided reliable suggestions for the application of artificial intelligence in steel plate deformation [9]. However, after the initial cold rolling of steel plates, it is far from meeting the requirements of steel plate deformation. It is necessary to further heat the steel plates linearly. In this process, the planning of linear heating lines is the most complex and difficult. Therefore, Wang et al. proposed intelligent prediction of sheet metal forming deformation based on BP neural network and Sparrow search algorithm [10]. Feng Zhiqiang used rough set modeling method to approximate the deformation of ship plates, and combined fuzzy logic and rough set theory to propose a modeling method based on fuzzy rough set [11,12]. Subsequently, Feng et al. established a modeling method for decision-making in sheet metal water-fire forming process based on rough set [13]. However, the accuracy of the model needs to be improved. In order to further improve the accuracy of the prediction of the heating line of steel plate deformation, Zhou et al. established a radial basis function (RBF) proxy model between process parameters and deformation, and used an improved multiobjective particle swarm optimization (MOPSO) method to search for the optimal process parameter set with non-dominated solutions, which has a certain reference value for engineering applications [14]. Now many scholars also use a variety of methods to predict the heating line, such as Dawa and Song et al. use neural networks to predict the forming shape, which has a certain reference value for the heating forming of steel plates [15,16].

Although many scholars have conducted in-depth research and experiments on the forming process of steel plates, the accuracy of prediction and the practical application of engineering need to be improved. The forming process of steel plates is an extremely complex process, and the data generated in the process are complicated, diverse, and large in volume. The final forming is affected by many aspects. The inappropriate model selection not only leads to inaccurate prediction results, but also requires multiple heating, which not only wastes time and manpower, but also wastes energy resources.

Therefore, this study proposes a linear heating line planning optimization method for steel plates based on PCA-PSO particle swarm optimization. After processing the initial data and extracting data features from high-dimensional data, the PSO particle swarm is used to globally optimize the steel plate forming. Through the training and optimization of the model, not only can the heating line be given according to the shape of the target steel plate, but also the repeated heating process can be reduced, which not only improves efficiency but also saves energy, and has certain engineering application value.

## 2. Related Work

## 2.1 Study on Heating and Forming of Steel Plate

Linear heating of steel plates has always been the main process for 3D curved surface forming of steel plates. The principle of steel plate forming is to heat the steel plate, which causes uneven heating of the steel plate, and the internal expansion of the steel plate generates stress, which causes the steel plate to deform. The heating deformation of the steel plate is affected by many factors. For example: temperature distribution of the steel plate, heating rate, cooling rate, material properties (density, specific heat capacity, thermal conductivity and expansion coefficient, etc.), thickness of the steel plate, heating method, phase change, internal stress and boundary conditions. Affected by many factors, the deformation of the steel plate due to expansion coefficient, phase change expansion deformation and stress deformation are shown in Formula 1, Formula 2 and Formula 3.

$$\Delta L_{Thermal \, expansion} = \alpha \cdot L_0 \cdot \Delta T \tag{1}$$

$$\Delta L_{\text{PhaseTransition}} = \beta_{\text{PhaseTransition}} \cdot L_0 \cdot \Delta T$$
(2)

$$\Delta L_{Internalforce} = \frac{\sigma_{Internalforce} \cdot L_0}{E}$$
(3)

Among them,  $\Delta L_{Thermal expansion}$  is the change of expansion deformation of steel plate,  $\alpha$  is the thermal expansion coefficient of steel plate,  $L_0$  is the initial deformation of steel plate,  $\Delta L$  is the change of temperature,  $\Delta L_{Thermal expansion}$  is the change of phase deformation of steel plate,  $\beta_{PhaseTransition}$  is the phase expansion coefficient,  $\Delta L_{Internalforce}$ 

Where n represents the heat source efficiency, Q represents the

heat source type. L represents the heating length, v represents the

heating rate, and represents q the calorific value. Therefore, the

is the change of stress deformation,  $\sigma_{\text{Internalforce}}$  is the internal stress, and *E* is Young's modulus. However, the change of temperature is affected by heating method, heating radius of heat source and heating efficiency. Therefore, the change of temperature per unit area is shown in formula 4.

$$\Delta T = \eta \cdot Q \cdot \frac{L}{v} \cdot q \tag{4}$$

preliminary deformation of the steel plate affected by temperature is shown in Formula 5

 $\Delta L = \Delta L_{Thermal \ expansion} + \Delta L_{Phase Transition} + \Delta L_{Internal force}$ 

$$= L_0 . (\alpha. \eta Q. \frac{L}{v} . q + \beta_{\text{PhaseTransition}} . \eta Q. \frac{L}{v} . q + \frac{\sigma_{\text{Internalforce}}}{E})$$
(5)

## 2.2 Steel Plate Heating Method

Different heating methods will cause the surface temperature and internal temperature of the steel plate to be different, thus affecting the deformation of the steel plate. For example, Figure 1 shows four heating methods of the steel plate during the phase change process, namely, rotation heating, spot heating, line heating, and back and forth heating. From the figure, we can see that different heating methods lead to different temperatures per unit area of the steel plate.



Figure 1: Four Ways of Heating Steel Plates

However, different heating types will also lead to large differences in the temperature between the surface and the inside of the steel plate. Choosing the appropriate heating type can analyze the temperature changes during the heat treatment of the hull steel plate. By analyzing the heating diagram, the process parameters can be optimized, the quality of steel plate forming can be improved, and energy can be used rationally and saved. Figure 2 shows the temperature changes of hull steel plates under different heating conditions with four heating types. They are linear heating, gradient heating, exponential heating and pulse heating. The red line in the figure represents the surface temperature of the steel plate, and the blue line represents the internal temperature of the steel plate.



Figure 2: Temperature Changes of Hull Steel Plates Under Different Heating Conditions for Four Heating Types

After heating, the steel plate will produce different temperature differences, stress and deformation. As shown in Figure 3, the changes in temperature, stress and deformation after heating a diagonal line of the steel plate are shown. In order to see the deformation of the steel plate more clearly, the deformation of two lines of the steel plate after heating is shown in Figure 4. The figure not only shows the original steel plate, but also the deformation of the steel plate can be clearly seen.



Figure 3: Changes in Temperature, Stress and Deformation of The Hull Steel Plate After Heating



Figure 4: Steel Plate Deformation Caused by Heating

## 2.3 Principal Component Analysis

Principal component analysis (PCA) is a statistical technique proposed by Karl Pearson and later improved by Harold Hotelling and widely used [17,18]. It simplifies the data structure and reduces the data dimension in multivariate statistical analysis while retaining as much original data variation information as possible. PCA can not only convert high-dimensional data into low-dimensional data for visualization and analysis, generate new variables that are independent of each other, remove the correlation between variables and reduce information loss, but also reduce the noise in the data. In the study of the deformation process of the steel plate, the deformation data of the steel plate is preprocessed first. First, the matrix X is decentralized, as shown in formula 6, and the eigenvalue vector in u. The covariance is calculated as shown in formula 7, and the eigenvalue and eigenvector are obtained, as shown in formula 8, where  $\Lambda$  is a diagonal matrix and V is an eigenvector matrix. There are n samples and p features in the matrix X.

$$X' = X - u \tag{6}$$

$$C = \frac{1}{n-1} X'^T X'$$
(7)

$$C = V \wedge V^T \tag{8}$$

The reduced-dimensional data is obtained by calculating the calculation of the contribution is shown in Formula 9, and the reduced-dimensional data is shown in Formula 10.

$$\Gamma_{k} = \sum_{i=1}^{k} \gamma_{i} = \frac{\sum_{i=1}^{k} \lambda_{i}}{\sum_{i=1}^{p} \lambda_{i}}$$

$$X_{K} = X' V_{k}$$
(9)
(10)

Among them,  $\lambda i$  is the eigenvalue of the i principal component,  $\sum_{i=1}^{k} \lambda_i$  is the sum of the eigenvalues of all principal components, and p is the number of principal components. The pseudocode of deformation data processing of PCA in ship plate deformation is shown in Table 1.

Input: Matrix *X* (n\_samples x n\_features)

Standardize X to have zero mean and unit variance

 $X_{\text{Standardize}} = (X - mean (X)) / std (X)$ 

Compute the covariance matrix of  $X_{\text{Standardize}}$ 

 $Cov_{matrix} = cov (X_{Standardized})$ 

Compute eigenvalues and eigenvectors of Cov matrix

eigenvalues, eigenvectors = eig (Cov<sub>matrix</sub>)

Sort eigenvalues and eigenvectors in descending order

Select the top k eigenvalues and corresponding eigenvectors

Selected eigenvectors = eigenvectors [:,0 : k]

Transform  $X_{\text{Standardized}}$  to the new space

 $X_{\text{pca}} = X \text{s} \text{ tan darzied (selected}_{\text{eigenvectors}})$ 

Visualize X<sub>pca</sub>

Analyze  $X_{pca}$  for insights into deformation patterns

Use  $X_{pca}$  for modeling and optimization in the heating process

End

### Table 1: Pseudo Code of Deformation Data Processing of PCA In Ship Plate Deformation

## 2.4 PSO Particle Swarm Optimization Algorithm

The Particle Swarm Optimization (PSO) algorithm is an optimization technique based on swarm intelligence. It was proposed by James Kennedy and Russell Eberhart in 1995 and was inspired by the foraging behavior of bird flocks [19]. The PSO algorithm searches for the global optimal solution by simulating

the social behavior of individuals (particles) in the search space.

In this study, it is assumed that the objective function of steel plate deformation is the sum of squares of deformation errors, as shown in Formula 11.

$$f(x) = \sum_{i=1}^{n} (d_1 - d^{target})^2$$
(11)

Among them, x is the vector of design variables, i d is the deformation of the steel plate at *i* points, and  $d_i^{target}$  is the target deformation.

temperature at different positions as T, the heating time as t, and the heating time as t, and the heating points at different positions as P. If there are m heating points, the vector of the above variables can be expressed as shown in Formula 12.

During the deformation process, the variables are the heating

$$x = \{T_1, T_2, \dots, T_m, t_1, t_2, \dots, t_m, p_1, p_2, \dots, p_m\}$$
(12)

In the process of optimizing the deformation model of the steel plate, a set of particles is first initialized, including the heating temperature, heating time, and heating position. The speed and position of each particle are initialized. The speed and position update formula of the PSO algorithm is used to update the optimal position and the global optimal position of each particle, and the optimal solution is obtained through fitness evaluation and update process. The speed update formula and position update formula are shown in Formula 13 and Formula 14.

$$v_i(t+1) = \omega v_i t + c_1 r_1 (p_i - x_i(t)) + c_2 r_2 (g - x_i(t))$$
(13)

$$x_i(t+1) = x_i + v_i(t+1)$$
(14)

Among them,  $v_i (t + 1)$  is the speed of particle *i* at time *t*, *x*(*t*) i is the position of particle *i* at time *t*, and  $\omega$  is the inertia weight, which controls the influence of the particle's previous speed on the current speed.  $c_1$  and  $c_2$  are learning factors that control the degree to which the particle follows the personal best position and the global

best position.  $r_1$  and  $r_2$  are random numbers uniformly distributed between (0,1) to increase the randomness and exploration ability of particles,  $p_i$  is the best position found by particle *i* so far, and *g* is the best position found by all particles so far. The pseudo code of this process is shown in Table 2.

Initialize particles with random positions i p and velocities i

Evaluate the fitness of each particle using  $f(x) = \sum_{i=1}^{n} (d_i - d_1^{target})^2$ 

while termination criterion not met do

for each particle do

Update the particle's velocity:

$$v_i(t+1) = \omega v_i t + c_1 r_1 (p_i - x_i(t)) + c_2 r_2 (g - x_i(t))$$

Update the particle's position:  $x_i(t + 1) = x_i + v_i(t + 1)$ 

Evaluate the fitness of the new position using the objective function

Update the particle's personal best position if current position is better

Update the global best position if current position is better

end for

end while

**Output** the parameters of the best particle as the optimized deformation heating line parameters

## 3. Experiment

## 3.1 Data Generation

At present, due to some confidential issues in the shipbuilding process, there is no public data set for experiments and research. Therefore, the experimental data of this study uses ANSYS simulation software to simulate the actual operation process of workers and generate relevant data. The simulation data process and ideas are shown in Figure 5. After the worker gets the target deformation design drawing, he plans and draws the heating line of the steel plate based on experience, and then heats it according to the heating line. After multiple heating comparisons, the final target shape is obtained. ANSYS can simulate the entire process. First, the heating line is planned according to the desired target shape, and then the heating line is heated. The steel plate is deformed to the target deformation, and the corresponding data of the entire process is exported, including the heating position, node temperature, node deformation, etc. In the simulation data process, in order to ensure the effective transfer of temperature, a certain heat flux is added per unit area. The simulation data in this study is that the steel plate size is  $1000mm^* \ 1000mm^* \ 20mm$ , and the steel plate is meshed, the mesh size is  $20mm^* \ 20mm^* \ 20mm$ , and the data of 18003 nodes are obtained. The simulated deformation process of a steel plate is shown in Figure 6.



Figure 5: Data Simulation Process

Workers heat and deform steel plates

## ANSYS Simulating data

#### Steel Plate Forming Process

![](_page_7_Figure_1.jpeg)

Figure 6: Data Simulation of Steel Plate Deformation Process

## **3.2** Establishment of Multi-Objective PCA-PSO Algorithm Model

The multi-objective PCA-PSO particle swarm optimization algorithm model is to pre-process the data generated by the simulation, first reduce the dimension of the high-dimensional data, extract features, and reduce the data dimension as much as possible without losing information, so as to reduce the amount of calculation for the subsequent particle swarm modeling and improve the training efficiency. According to the characteristics of the particle swarm and the ability of global optimization, a global optimization model is established and trained. After the trained model, the target deformation data is input into the model, and the model can plan the heating line and give the results. The model establishment structure is shown in Figure 7.

![](_page_7_Figure_6.jpeg)

![](_page_7_Figure_7.jpeg)

In order to verify the quality of the model, it is necessary to use the mean square error to evaluate the accuracy of the model. The mean square error is actually a loss function of the error between

the predicted features and the expected value. Its loss function is shown in Formula 15.

$$MinimiseMSE(\theta) = \frac{1}{n} \sum_{i}^{n} (\hat{y}_{i}(\theta) - y_{i})^{2}$$
<sup>(15)</sup>

Among them,  $\theta$  is the parameter obtained by multi-objective PCA-PSO optimization,  $\hat{y}_i(\theta)$  is the predicted value based on the parameter, and  $y_i$  is the true value.

In the process of 3D curved surface forming of steel plates, the planning of heating line planning has a great influence on the quality, efficiency and cost of forming. The multi-objective PCA- PSO algorithm can optimize from multiple objectives to obtain the optimal solution. However, the genetic algorithm simulated annealing algorithm also has the ability of a global optimization algorithm and can find the optimal solution or a solution close to the optimal solution. Therefore, the study compared multiple algorithms. The results are shown in Figure 8.

![](_page_8_Figure_6.jpeg)

Figure 8: Comparison of Various Algorithms for Predicting Steel Plate Deformation Heating Line

The multi-objective PCA-PSO particle swarm optimization algorithm model after training has a good effect. Compared with the loss function values of various algorithms, the multi-objective PCA-PSO algorithm has the smallest value, indicating that the predicted value of the model is closest to the true value. In other words, the linear heating line predicted by the model is the best.

#### 4. Discussion

This study uses the multi-objective PCA-PSO particle swarm optimization algorithm to model and plan the heating line according to the target shape steel plate. And by comparing multiple algorithms such as genetic algorithm, simulated annealing algorithm, neural network algorithm, recurrent neural network, adaptive neural network and Bayesian optimization, according to the results, the multi-objective PCA-PSO particle swarm optimization algorithm has the smallest loss function, so it can be preliminarily concluded that the model of this algorithm has good performance. The heating line can be planned relatively accurately. It has great use value in engineering applications. As shown in Figure 9, the input steel plate target shape is shown, and the model can give the heating line. (The input of this process is the steel plate target deformation data, not the deformation picture of the steel plate)

![](_page_9_Figure_0.jpeg)

Figure 9: Prediction of Steel Plate Deformation Heating Line

## 5. Conclusion

The main research content of this paper is the optimization of linear heating line planning for steel plates based on multi-objective PCA-PSO particle swarm optimization. In the traditional linear heating process of steel plates, it mainly relies on experienced workers to heat, the working environment is harsh, the working time is long, and the processed steel plates are uneven. Multiple processing leads to a waste of time and energy. Therefore, the method proposed in this paper plans heating according to the target shape through the multi-objective dimensionality reduction particle swarm optimization algorithm. The heating line results given by this method can assist workers in heating and deforming steel plates to a large extent. It not only reduces the workload of workers and improves work efficiency, but also reduces energy waste and makes reasonable use of resources. It provides reliable application value for engineering applications.

In the future, linear heating of steel plates will face greater challenges and opportunities. How to realize the full automation of the linear heating process of steel plates, including linear planning, linear heating, forming comparison, etc. In the future, the author will continue to conduct in-depth research to provide reliable research for the full automation of the heating and deformation process of steel plates.

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