

**Research Article** 

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# **Multiobjective Nonlinear Model Predictive Control of the Microbial Process**

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# Abstract

A rigorous multiobjective nonlinear model predictive control is performed on the microbiome dynamic model that takes into account competition, amensalism, parasitism, neutralism, commensalism and cooperation. The optimization language pyomo is used in conjunction with the state of the art global optimization solver BARON. It is demonstrated that when the species that produces the required product is favorable to the other species there is an initial decrease in the required product before an increase happens.

# **1. Introduction**

There has been a lot of research that describe the complex interactions of the many microbial organisms that exist in the microbial cluster in chemostats. The microbial consortium is a complex system with higher-order dynamic characteristics that are governed by commensalism, amensalism, co-operation, neutral behavior and parasitism. To explain these complex interactions, highly sophisticated nonlinear models have been developed. Such nonlinearities pose challenges to the performance of optimization and control tasks. In this article multiobjective nonlinear model predictive control for a dynamic microbiome model is performed using the modeling language Pyomo in conjunction with the state of the art global optimization solver BARON. The document is organized as follows. The background is followed by the description of the model equations and the nonlinear model predictive control strategy. This is followed by the discussion of the results and conclusions

# 2. Background

Fredrickson & Stephanopoulos and Du et al, discuss the communications between the microbes via metabolic or genetic signals and these interactions are responsible for the resilience of the whole microbial community to adverse conditions [1,2]. Such sustainable resilience may be impossible for the individual cells [3,4]. The advantages that cocultures demonstrate over monocultures include robustness to perturbations, compartmentalization of incompatible reactions and division of labor [5,6]. Microbial coculture or consortia have been applied to configurate different sections of metabolic pathways to improve catalytic performance and has led to the production of biofuels and nutritional products [7-11]. Synthetic biology tools such as cell signalling translators and biosensors have been developed to regulate the composition of cultures [12-16]. Microbial interactions are important to develop advanced biomaterials, biofilm formation and disarm antibiotic resistant superbugs [17-19]. Some researchers, present kinetic models that describe the effect of nutrient and environmental conditions on cell growth [20-22]. Several workers have modified the original Monod model to incorporate extra terms [23-26]. Tsuchiya et al., have formulated a set of coupled Monod equations that exhibit oscillatory behavior [27]. Self-in-hibitory factors and nutrient limiting conditions have been incorporated in a hybrid Monod model 10 that accurately describes cell growth.

The Lotka Volterra modeln describes the dynamic species interaction in closed systems [28,29]. The complex dynamics of the interacting species in the microbial consortia poses challenges to track the population changes in the interacting species. The complexity of the dynamics is because of the presence of singularities such as limit points and branch points (that give rise to multiple trajectories) and Hopf bifurcation points that cause oscillations. The presence of microbes everywhere, and their role in human health has resulted in the design of bacterio-therapies where bacteria is administered to patients for therapeutic purposes. One must clearly understand the details of the microbial dynamics in a colony to be able to the design of resulting therapeutics. The human microbiome constitutes all the microorganisms that live in and on the surface of our bodies. The microbiome plays a significant role in several human diseases [30-35]. Given the microbiome's role in human health, and in the design and development of bacterio-therapies, which are cocktails of several bacteria working together to achieve specific therapeutic effects, it is important to understand the population dynamics in the microbiome.

Stein et al, model microbial dynamics using the continuous time deterministic generalized Lotka-Volterra (gLV) equations, reduce it to a discrete time linear model via a log transform, and use

a L2 penalized linear regression to infer model parameters [36]. Some researchers discuss the ecological interaction types (mutualism, commensalism, amensalism, neutral behavior, competition and exploitation) [37-40]. This led to the development and parameterization of community dynamic models [41-45]. The aim of this paper is to perform rigorous multiobjective nonlinear model predictive control on the microbiome using the model described in Xu that takes into account competition, amensalism, parasitism, neutralism, commensalism and cooperation [10]. Details of this model are presented in the next section.

### 3. Microbiome Model

The equations that govern the microbiome model are [10]

$$\frac{dx_A}{dt} = (\mu_A - D_A)x_A \tag{1}$$

$$\frac{dx_B}{dt} = (\mu_B - D_B)x_B \tag{2}$$

$$\frac{dS}{dt} = D(S_0 - S(t)) - \frac{\mu_A x_A(t)}{Y_{AS}} - \frac{\mu_B x_B(t)}{Y_{BS}} - \frac{(\alpha \mu_A + \beta) x_A(t)}{Y_{PS}}$$
(3)

$$\frac{dP_A}{dt} = (\alpha \mu_A + \beta) x_A(t) - DP_A(t) - \frac{k x_B(t) P_A(t)}{Y_{BA}(k_m + P_A(t))}$$
(4)

$$\frac{dP_B}{dt} = -DP_B(t) + \frac{kx_B(t)P_A(t)}{(k_m + P_A(t))}$$
(5)

$$\mu_{A} = \frac{\mu_{A_{-}\max}S}{K_{SA} + S} \left(1 + \frac{\gamma_{BA}x_{B}}{S_{0}y_{BS}}\right)$$
(6)

$$\mu_{B} = \frac{\mu_{B_{max}}S}{K_{SB} + S} \left(1 + \frac{\gamma_{AB}x_{A}}{S_{0}y_{AS}}\right)$$
(7)

Α-

The nomenclature in these equations are given by

- $\mu_{A_{max}}$  maximal specific growth rate for species A (1/h)
- $\mu_A$  specific growth rate for species A (1/h)
- $\mu_{B_{-max}}$  maximal specific growth rate for species B (1/h)
- $\mu_B$  specific growth rate for species B (1/h)
- $K_{SA}$  substrate saturation constant for species A (g/L)
- $K_{SB}$  substrate saturation constant for species B (g/L)
- $Y_{4S}$  species A biomass yield from substrate S (g/g)
- $Y_{BS}$  species B biomass yield from substrate S (g/g)
- $Y_{BA}$  product B (PB) yield from intermediate A (PA) (g/g)
- $Y_{PS}^{DAT}$  intermediate A (PA) yield from substrate S (g/g)
- α growth-associated intermediate A (PA) formation coefficient (dimensionless)
- β growth-unassociated intermediate A (PA) formation rate (1/h)
- *γ*<sub>AB</sub> interaction coefficient of species A imposes on species
   B (dimensionless)
- $\gamma_{BA}$  interaction coefficient of species B imposes on species A (dimensionless)
- k rate constant of intermediate A (PA) converted to product B (PB) (1/h)
- Km intermediate A saturation constant for species B (g/L)
- $X_A$  species A biomass in the CSTR (g/L)
- $x_B$  species B biomass in the CSTR (g/L)
- $P_A$  intermediate A concentration in the CSTR (g/L)

- $P_{R}$  product B concentration in the CSTR (g/L)
- S substrate concentration in the CSTR (g/L)
- $S_0$  substrate concentration in the feeding stream (g/L)
- D dilution rate in the CSTR (1/h)

Chart 1 shows the nature of the interactions when the values of  $\gamma_{AB}, \gamma_{BA}$  are -1, 0 or 1. These interactions are competition, amensalism, parasitism, neutralism, commensalism and cooperation. The interaction coefficient was defined by a dimensionless factor ( $\gamma_{AB}, \gamma_{BA}$ ) that describe the interactions between species A and B. A pointed arrow indicates beneficial relation, a blunt-ended arrow indicates harmful relation The other parameter values are  $\mu_{A_{\rm max}} = 1.6/h; \mu_{B_{\rm max}} = 1.2/h; K_{SA} = 1.0 \text{ g/L}; K_{SB} = 0.8 \text{ g/L}; S_0 = 50 \text{ g/L}; Y_{AS} = 0.5 \text{ g/g}; Y_{BS} = 0.8 \text{ g/g}; Y_{BA} = 0.8 \text{ g/g}; Y_{PS} = 0.4 \text{ g/g}; \alpha = 0.5 \text{ and } \beta = 0.5$ 

A 
$$\rightarrow$$
 B Commensalism  $\gamma_{AB} = 1, \gamma_{BA} = 0$ 

B Amensalism 
$$\gamma_{AB} = -1, \gamma_{BA} = 0$$

B Commensalism  $\gamma_{AB} = 0, \gamma_{BA} = 1$ 

A B Amensalism 
$$\gamma_{AB} = 0, \gamma_{BA} = -1$$



#### Chart. 1

# 4. Multiobjective Nonlinear Model Predictive Control (MN-LMPC )method

The multiobjective nonlinear model predictive control (MN-LMPC) method is used to perform the calculations [46,47]. This method is rigorous and it does not involve the use of weighting functions not does it impose additional parameters or additional constraints on the problem unlike the weighted function or the epsilon correction method For a problem that is posed as

$$\min J(x,u) = (x_1, x_2, \dots, x_k)$$
subject to  $\frac{dx}{dt} = F(x,u)$ 

$$h(x,u) \le 0$$

$$x^L \le x \le x^U$$

$$u^L \le u \le u^U$$
(8)

The MNLMPC method first solves dynamic optimization problems independently minimizing/maximizing each  $x_i$  individually [48]. The minimization/maximization of  $x_i$  will lead to the values  $x_i^*$ . Then the optimization problem that will be solved is

$$\min \sqrt{\{x_i - x_i^*\}^2}$$
subject to  $\frac{dx}{dt} = F(x, u)$ 

$$h(x, u) \le 0$$

$$x^L \le x \le x^U$$

$$u^L \le u \le u^U$$
(9)

This will provide the control values for various times. The first obtained control value is implemented and the remaining discarded. This procedure is repeated until the implemented and the first obtained control value are the same. This will also enable in the drawing of the Pareto Curves which show the variation of the optimal values of one variable with another.

The optimization package in Python, PYOMO where the differential equations are automatically converted to a Nonlinear

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Program (NLP) using the orthogonal collocation method The Lagrange-Radau quadrature with three collocation points is used and 10 finite elements are normally chosen to solve the optimal control problems [49]. The resulting nonlinear optimization problem will be solved using the solver Baron BARON implements a Branch-and-reduce strategy to provide valid lower and upper bounds for the optimal solution and provides a guaranteed global optimal solution [50]. This algorithm combines constraint propagation, interval analysis, and the duality in it reduces arsenal with enhanced branch and bound concepts as it winds its way through the hills and valleys of complex optimization problems in search of global solutions. BARON is accessed through the PYOMO-GAMS27.2 interface [51]. To summarize the steps of the algorithm are as follows

- 1. Minimize/maximize  $x_i$  subject to the differential and algebraic equations that govern the process using PYOMO and BARON. This will lead to the value  $x_i^*$
- 2. Minimize  $\sqrt{\{x_i x_i^*\}^2}$  subject to the differential and algebraic equations that govern the process using PYOMO and BAR-ON. This will provide the control values for various times.
- 3. Implement the first obtained control values and discard the remaining.
- 4. Repeat steps 1 to 4 until there is insignificant difference between the implemented and the first obtained value of the control variables.

 $P_B$  is the required product that is produced from  $P_A$  Hence both  $P_B$  and  $P_B$  are maximized. The maximization of  $P_A$  and  $P_B$  will yield the values  $P_A^*$  and  $P_B^*$ . The function  $\sqrt{(P_A - P_A^*)^2 + (P_B - P_B^*)^2}$  is minimized. All the optimization is performed subject to equations 1-7. This is done for various combinations of  $\gamma_{AB}$  and  $\gamma_{BA}$  which individually take on values of -1, 0 and 1. The combinations of  $\gamma_{AB}$  and  $\gamma_{BA}$  are

[(0,0), (0,-1), (0,1), (1,0), (1,-1), (1,1), [(-1,0), (-1,-1), (-1,1)].The dilution rate D is the control variable.

### 5. Results and Discussion

Figures 1(a, b), 2(a,b),....9(a,b) show the plots of  $P_{A}$  and  $P_{B}$  versus t. Figures 1c-9c show the Pareto surfaces ( $P_A$  versus  $P_B$ versus t). In all the cases where  $\gamma_{BA}$  is 1 (figures 2a,2b,2c, 5a,5b,5c, 8a,8b,8c) there is an initial monotonic decrease in  $P_A$  while  $P_B$ first decreases before increasing. In all other cases, there is a monotonic increase in  $P_{A}$  and a monotonic decrease in  $P_{A}$  To understand the cause for this one has to compare  $P_A$  the versus t curves for the cases when  $\gamma_{BA}$  is not equal to 1, with the same curve when  $\gamma_{BA}$  is unity. While all the curves show an initial decrease in  $P_A$  (in figs 2a and 8a, where  $\gamma_{BA}$  is 1,  $P_A$  also increases after an initial decrease) the initial decrease in the cases when  $\gamma_{BA}$ is not equal to 1, is less pronounced than when  $\gamma_{B4}$  is unity. The reason for this is the that initially the conversion of  $x_{4}$  is used in the production of production of  $x_B$  and much of the  $x_B$  results in more  $x_A$  and not in  $P_A$  or  $P_B$  Hence,  $\frac{dP_B}{dt} < 0$  until the value of  $x_B$  goes high enough to cause to value of the derivative  $\frac{dP_B}{dt}$  to become positive. Therefore, when the interactive coefficient of the species that produces the required product is 1 (or when the species that produces the required product is favorable to the other species) one must wait for sometime before the required product concentration starts to increase.



Fig 1a( $\gamma_{AB}$  = 0 ;  $\gamma_{BA}$  =0)









Fig 2c( $\gamma_{AB}$  = 0 ;  $\gamma_{BA}$  =1)

![](_page_8_Figure_0.jpeg)

![](_page_9_Figure_0.jpeg)

Fig 3b( $\gamma_{AB} = 0$ ;  $\gamma_{BA} = -1$ )

![](_page_10_Figure_0.jpeg)

![](_page_11_Figure_0.jpeg)

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![](_page_15_Figure_0.jpeg)

![](_page_16_Figure_0.jpeg)

Fig 7a( $\gamma_{AB}$  = -1 ;  $\gamma_{BA}$  =0)

![](_page_17_Figure_0.jpeg)

![](_page_18_Figure_0.jpeg)

Fig 8a( $\gamma_{AB}$  = -1 ;  $\gamma_{BA}$  =1)

![](_page_19_Figure_0.jpeg)

Fig 8c( $\gamma_{AB}$  = -1 ;  $\gamma_{BA}$  =1)

![](_page_20_Figure_0.jpeg)

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![](_page_21_Figure_0.jpeg)

# 5. Conclusions and Future Work

Multiobjective nonlinear model predictive control of the dynamics in the microbial consortium involving two active organisms was performed. The dynamics involve competition, amensalism, parasitism, neutralism, commensalism and cooperation. It is shown that when the species that produces the required product is favorable to the other species there is an initial decrease in the required product before an increase takes place. A waiting period is necessary before the concentration of the required product starts to increase. Future work will involve more than two active organisms in the microbiome.

### **Data Availability Statement**

The authors confirm that the data supporting the findings of this study are available within the article.

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